

#### PATENT APPLICATION

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q67629

RECEIVED

Masahiro ONO, et al.

SEP 0 5 2003

Appln. No.: 10/020,177

Group Art Unit: 2661

Technology Center 2600

Confirmation No.: 9328

Examiner: NOT YET ASSIGNED

Filed: December 17, 2001

For:

TRANSPORT STREAM MULTIPLEXING METHOD, TRANSPORT STREAM MULTIPLEXING APPARATUS, AND STORAGE AND REPRODUCTION SYSTEM

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/020,177

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 41,239

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 4, 2003

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MULTIPLEXING APPARATUS, AND STORAGE AND REPRODUCTION SYSTEM

#### STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making a reasonable inquiry, that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 41,239

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: September 4, 2003

	Substitute for For-	- 1440 A & D/DTO	<del></del>	Complete if Known			
	Substitute for Form 1449 A & B/PTO			Application Number	10/020,177		
0	IPE IN	<b>FORMATION</b>	DISCLOSURE	Confirmation Number	9328		
	STATEMENT BY APPLICANT			Filing Date	December 17, 2001		
	<b>9</b> /			First Named Inventor	Masahiro ONO		
EP	(use as many sheets as necessary)			Art Unit	2661		
				Examiner Name	NOT YET ASSIGNED		
7	heet	1	of 1	Attorney Docket Number	Q67629		
<i>,</i> ,							

			U.S.	PATENT DOCU	MENTS
Euganizaa	Cite	Document Number		Dublication Data	
Examiner Initials*		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5 481 543 A		Jan 2, 1996	Veltman
		US			RECEIV'
		US			
		US			SEP 0 5 2003
		US			021 0 0 2000
		US			Technology (* 2640
		US			Technology Center 2660
		US			
	-	US			

FOREIGN PATENT DOCUMENTS							
Examiner	miner Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		EP	0 881 838 A		Dec 2, 1998	SARNOFF CORP.	
		EP	0 782 137 A		Jul 2, 1997	SONY CORP	
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	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>			
		Patent Abstracts of Japan, vol. 1999, no. 14, Dec 22, 1999 & JP 11 252058A (Sony Corp.)				
		Mechanisms of MPEG Stream Synchronization, G. J. Lu, H Pung and T. S. Chua, Computer Communications Review, pgs. 57-67				
		Continuity and Synchronization in MPEG, P. Venkat Rangan, IEEE Journal on Selected Areas in Communications – January 1996.				

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Examiner Signature	Date Considered	
Transmitt Digitature		I

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.